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BEFORE THE ARIZONA CORPORATION COMMISSION ED

Arizona Corporation Commission

2003 APR -1 A 9: 14

COMMISSIONERS MARC SPITZER, Chairman

DOCKETED

JIM IRVIN 4 WILLIAM A. MUNDELL 5 JEFF HATCH-MILLER

MIKE GLEASON

DOCKETEL BY

APR 01 2003

IN THE MATTER OF THE.

DOCKET NO. S-03489A-03-0000

KATHLEEN WHORLEY SOMMER [CRD No. 27500361], dba Sommer & Associates 249 W. Waltann Lane Phoenix, AZ 85023

and JOHN DOE, husband and wife,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On February 25, 2003, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Kathleen Whorley Sommer and John Doe ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts.

The Respondents were duly served with a copy of the Notice.

On March 25, 2003, a request for hearing and Motion to Dismiss ("Motion") was filed for Respondents.

On March 26, 2003, the Division filed a response to Respondent's Motion and requested a pre-hearing be set.

Accordingly, a pre-hearing conference should be scheduled.

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1	IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on April 10, 2003	
2	at 10:30 a.m., at the Commission's offices, 1200 W	est Washington Street, Phoenix, Arizona.
3	DATED thisday of April, 2003.	
4		h =
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6		MARC E. STERN
7		ADMINISTRATIVE LAW JUDGE
8	Copies of the foregoing mailed/delivered this/ Cap day of April, 2003 to:	
9	7	
10	Harry N. Stone 3030 N. 3 rd Street	
11	Phoenix, AZ 85012	
12	Attorneys for Respondent	
13	Moira McCarthy Assistant Attorney General	
14	ARIZONA ATTÓRNEY GENERAL'S OFFICE 1275 West Washington Street Phoenix, AZ 85007	
15		
16	W. Mark Sendrow, Director Securities Division	
17	ARIZONA CORPORATION COMMISSION 1300 West Washington Street Phoenix, AZ 85007	
18		
19	ARIZONA REPORTING SERVICE, INC. 2627 N. Third Street, Ste. Three	
20	Phoenix, AZ 85004	
21		
22	By: MON	
23	Molly Johnson Secretary to Marc E. Stern	
24		
25		
26		